



Prairie Band Potawatomi Nation  
16281 Q Road, Mayetta, KS 66509  
Phone: (785)966.4000 • Fax: (785)966.3062

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## **PRAIRIE BAND POTAWATOMI NATION**

**16281 Q ROAD**

**MAYETTA, KS 66509**

### **REQUEST FOR PROPOSAL**

**“Tribal Finance Policy Revision”**

**SUBMISSION DEADLINE:**

**February 21, 2025 @ 3:00 PM (CST)**

**Late submissions will not be considered.**

**Electronic bid submittals only.**

**SUBMIT TO:**

**PBPN Procurement Office**

**[procurement@pbpnation.org](mailto:procurement@pbpnation.org)**



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## REQUEST FOR PROPOSAL(S)

**SOLICITATION NUMBER:** 2025-0001

**SOLICITATION DUE DATE / TIME:** February 21, 2025 @ 3:00 PM CST

**SUBMITTAL LOCATION:** Electronic Bid Submittals Only

**DESCRIPTION:** Tribal Finance Policy Revision

**PRE- BID TELECONFERENCE:**

<u>DATE</u>	<u>TIME</u>	<u>LOCATION</u>
1/29/2025	10:00 AM CST	Zoom

In accordance with PRAIRIE BAND POTAWATOMI NATION (PBPN or Nation) procurement policies, bids for the services specified will be received by PBPN at the e-mail address provided for in this Bid event. Bids received by the due date and time will be opened. The name of each Bidder will be publicly available. Bids must be submitted to the PBPN on or prior to the date and time indicated. Late bids will not be considered. It is the responsibility of the bidder to provide a company email address and routinely check with the PBPN Representative for Solicitation Amendments. Additional instructions for preparing a bid are included in this solicitation.

**BIDDERS ARE STRONGLY ENCOURAGED TO CAREFULLY READ THE ENTIRE SOLICITATION**

**Michael Tamez**  
**PBPN – Senior Procurement Specialist**  
**16281 Q Road**  
**Mayetta, Kansas 66509**  
**Telephone: (785)966- 3970**  
[michaeltamez@pbpnation.org](mailto:michaeltamez@pbpnation.org)

# **PRAIRIE BAND POTAWATOMI NATION NARRATIVE**

## **1. INTRODUCTION**

The Prairie Band Potawatomi Nation (PBPN) is a federally recognized Indian tribe of more than 4,586 enrolled Tribal members and approximately 300 Tribal employees. With the 1996 opening of the PBPN Casino, the tribe has provided over 191 tribal homes fully occupied on the reservation as well as the Tribe's government offices, Police and Fire Stations, Boy & Girls Club facility, Health Center, Behavioral Health Center, Early Childhood Education, Senior Center, Language Program building, the PB Construction Inc., Firekeeper Golf Course, Nation Station Convenience store and several other enterprises.

## **2. BACKGROUND**

The PBPN's reservation established by the 1846 treaty is located in Northeast Kansas.

PBPN has 56 commercial structures with an active Tribal government serving its membership with a full range of services including fire, police, sanitation, public improvements, health and social services, childcare, planning and zoning and general administrative services.

The PBPN government has a Council form of government. The Tribal Council is comprised of the Chairman and six (6) Council members elected by the Nation's General Council. The General Council consists of all enrolled members of the Nation who are 18 years of age or older meeting in a general council. The Tribal Council members are elected at large every four years with staggered terms. The Council is the legislative body of the Tribal government with the Chairman as the presiding officer. A General Manager is appointed by the Council and administers the daily operations of the Tribal government through appointed department heads.

The General Council has the responsibility for oversight and regulation of the Nation's government.

The Tribal Council through its enumerated constitutional powers has determined that to further the cause of the Nation's self-government, both politically and economically, approved an employment outreach policy to be part of the Nation's procurement procedure.

## 1. GENERAL INFORMATION

### 1.1. Purpose for Request for Proposal (RFP)

The purpose of this Request for Proposal (RFP) is to solicit proposals from qualified consultants or firms to assist in the comprehensive revision of the Tribal Nation's finance policy.

### 1.2. Project Location

TBD

### 1.3. Proposal Guidelines

This request for Proposal represents the requirements for an open and competitive process. Proposals will be accepted by e-mail **until 2/21/2025 3:00 PM, (CST)**. Any proposals received after this date and time will not be considered. All proposals must be signed by an official agent or representative of the company submitting the proposal.

If an organization submitting a proposal outsources or contracts any work to meet the requirements contained herein, this must be clearly stated in the proposal. Additionally, all costs included in the proposals must be all-inclusive to include all outsourced or contracted work. Any proposals which call for outsourcing or contract work must include a name and description of the organizations being contracted and type of work to be performed.

All costs must be itemized to include an explanation of all fees and costs.

### 1.4. Pre-Bid Teleconference

1.4.1. A Pre-Bid Teleconference meeting will be held on **1/29/2025 at 10:00 AM (CST)** local time. Details of the telecom meeting are as follows:

#### **Join Zoom Meeting**

<https://us06web.zoom.us/j/89004769132?pwd=ek1sQaxBU38YwOlEoeZB5pwxgm57uM.1>

**Meeting ID: 890 0476 9132**

**Passcode: 996737**

- **833 548 0276 US Toll-free**
- **833 548 0282 US Toll-free**
- **877 853 5257 US Toll-free**
- **888 475 4499 US Toll-free**

1.4.2. The purpose of the teleconference meeting is to clarify the contents of this solicitation and the procurement process to prevent any misunderstanding of the project, and to respond to any immediate questions that Contractor may have about this RFP. A list of persons in attendance at the pre-Proposal meeting will be recorded.

1.4.3. Any doubt as to the requirements of this Proposal (RFP) or any apparent omission or discrepancy should be presented to the Nation at this conference.

- 1.4.4. A bidder may not rely on any verbal responses to questions at the conference. Material issues raised at the conference that result in changes to the Solicitation shall be answered solely through a Solicitation Amendment.
- 1.4.5. Persons with Disabilities: With seventy-two (72) hours prior notice, persons with disabilities may request special accommodation such as interpreters, alternative formats, or assistance with physical accessibility. Such requests are to be addressed to the Solicitation contact person named below.
- 1.4.6. No oral communication from or with the Owner Contact, Tribal employee individuals or Tribal official concerning the Project during the Procurement process is allowed, except during the Pre- Bid Teleconference meeting and Question inquiry phase. A violation of this may result in disqualification of the proposer.

**1.5. Project Scope of Work**

The project scope of work is attachment “A.”

**1.6. Attachment – Table of Contents**

- 1.6.1. Attachment A – Scope of Work
- 1.6.2. Attachment B – Budget Worksheet (to be submitted as a separate PDF file)
- 1.6.3. Attachment C – Drug Free Workplace Certification
- 1.6.4. Attachment D – PBPN Disbarment Disclosure
- 1.6.5. Attachment E – References

**2. REQUIREMENTS**

**2.1. Project**

- 2.1.1. The selected contractor will commence with service upon the Tribal Council’s approval of the contract for goods or services.
- 2.1.2. The selected contractor shall be given access to relevant documents to assess the needs of PBPN.
- 2.1.3. The Contractor shall re-execute any work that fails to conform to the requirements of this contract. Such re-execution of work shall be the sole responsibility of the Contractor (including all associated cost).
- 2.1.4. PBPN reserves the right to request all responsible and responsive Bidder to provide a demonstration, presentation and overview of the Bidders proposal which will be scheduled after the Request for Proposal close date.
  - 2.1.4.1. Demonstrations/Presentations will be conducted at the PBPN Administration Conference Room.
  - 2.1.4.2. PBPN will arrange the format, date, time, and place for the presentation and notify each Bidder.
  - 2.1.4.3. Demonstration/Presentation shall be provided at no expense to PBPN.

2.1.4.4. Demonstration/Presentation will be limited to the time stated in the PBPB invitation.

## **2.2. Project Delivery and Stipulations**

The price and contract will be procured using the PBPB Procurement's Competitive Sealed Bidding Process as described in PBPB Procurement Policy procedures as it has been determined to be the most appropriate method of contracting for the subject project.

## **2.3. Solicitation Inquiries**

### **2.3.1. Duty to Examine**

It is the responsibility of each Bidder to examine the entire Solicitation, seek clarification in writing (inquiries) and examine its Bid for accuracy before submitting a Bid. Lack of care in preparing a Bid shall not be grounds for modifying or withdrawing after the due date and time.

### **2.3.2. Solicitation Contact Person**

Any inquiry related to a Solicitation, including any request for or inquiries regarding standards referenced in the Solicitation shall be directed solely to the Procurement Office.

### **2.3.3. Submission of Inquiries**

2.3.3.1. All inquiries related to the Solicitation are required to be submitted to the Procurement office and emailed to [michaeltamez@pbpnation.org](mailto:michaeltamez@pbpnation.org)

2.3.3.2. All responses to inquiries will be answered by email.

2.3.3.3. Any inquiry related to the Solicitation should reference the appropriate solicitation page and paragraph number.

2.3.3.4. Bidders are prohibited from contacting any PBPB department/employee other than the Finance Director and/or the Procurement Officer concerning the procurement while the solicitation and evaluation are in process.

### **2.3.4. Timeliness**

2.3.4.1. Any inquiry or exception to the Solicitation shall be submitted as soon as possible and should be submitted no later than 2/7/2025 for review and determination.

2.3.4.2. Failure to do so may result in the inquiry not being considered for a Solicitation Amendment.

### **2.3.5. Solicitation Amendments**

2.3.5.1. All Solicitation Amendments shall only be modified by a Solicitation Amendment and issued by mail or email.

2.3.5.2. The Procurement Officer will respond to any questions and requests for clarification that were posed in writing or at the pre-bid meeting or site visit.

- 2.3.5.3. Clarification, modifications, or amendments may be made to this request at any time prior to the Bid Deadline at the Nation’s discretion. The response shall be in the form of a written addendum issued to all interested bidders.
- 2.3.5.4. All bidders shall acknowledge receipt of any addenda by email to the PBPN’s Procurement Office.
- 2.3.5.5. The Procurement Officer shall make all reasonable attempts to ensure that all interested bidders receive any written addenda to this request.
- 2.3.5.6. Failure to acknowledge a Solicitation Amendment may result in rejection of the Bid.

**2.3.6. Bid Amendment or Withdrawal**

- 2.3.6.1. Bids may only be withdrawn by written notice prior to the date and time set for the opening of Bids.
- 2.3.6.2. A bid may not be amended or withdrawn after the bid due date and time except as otherwise provided under applicable PBPN Procurement Policy.

**2.3.7. Confidential and Proprietary Information**

- 2.3.7.1. If a Bidder believes that ANY portion of a bid, protest, or correspondence contains a trade secret or other proprietary information submitted is confidential and the release of such information could be detrimental to the Bidder, the Bidder shall separate such information and clearly label and designate the trade secret and other proprietary information, using the term “confidential.”
- 2.3.7.2. The “Confidential” label documents will be held in confidence by PBPN, and reasonable care will be taken to ensure they are not released (unless required by law), without prior authorization of the Bidder.

**2.3.8. Public Record**

All bids submitted and opened are public records and must be retained by PBPN for a period of three (3) years. Bids shall be open and available to public inspection through PBPN’s Procurement Office ten (10) days after the awarded contract, except for such bids deemed to be confidential by the Tribe.

**2.3.9. Non-collusion, Employment Outreach and Services**

By signing the official contract form, the Bidder certifies that:

- 2.3.9.1. The Bidder did not engage in collusion or other anti-competitive practices in connection with the preparation or submission of its bid.
- 2.3.9.2. The Bidder does not discriminate against any employee or applicant or employment or person to whom it provides services because of race, color, religion, sex, national origin, or disability and that it complies with an applicable federal state and local laws and executive orders regarding employment, and;

**2.3.9.3.** At any time during the term of the contract when the lack of non-core workers delays or prevents the delivery of contract for on reservation services the Bidder will coordinate on-reservation employment outreach efforts with the Nation's General Manager's office. The outreach efforts shall be open to any interested person who can fulfill the minimum requirements of the job description. The General Manager's office assistance may include providing a location for in-person recruitment and announcing job openings and in-person recruitment events using the Nation's social media and public posting of employment opportunities with the Bidder on the reservation. The Nation's assistance is intended to complement the Bidder's hiring processes.

### **2.3.10. Formal Contract**

The contractor shall meet all the requirements to establish a Professional Goods and Service Contract (PGSC) with the Procurement Office.

### **2.3.11. Disclosure**

If the person submitting this bid has been debarred, suspended or otherwise lawfully precluded from participating in any public procurement activity, including being disapproved as a subcontractor with any federal, state or local government, or if any such preclusion from participation from any public procurement activity is currently pending, the Bidder shall fully explain the circumstances relating to the preclusion or proposed preclusion in the Bid (Attachment D). The Bidder shall set forth the name and address of the governmental unit, the effective date of the suspension or debarment, the duration of the suspension or debarment, and the relevant circumstances relating to the suspension or debarment. If suspension or debarment is currently pending, a detailed description of all relevant circumstances including the details enumerated above shall be provided.

In addition to the foregoing, Bidder shall complete and submit Attachment C, Drug-Free Workplace Certification.

### **2.3.12. Delivery**

Unless stated otherwise in the Solicitation, all prices shall be F.O.B. Destination and shall include all freight, delivery and unloading at the destination(s).

### **2.3.13. Care of Nation's Property**

**2.3.13.1.** The Contractor shall be responsible for the proper care and custody of any Nation-owned personal tangible property and real property furnished for the Contractor's use in connection with the performance of his/her work, and

**2.3.13.2.** Contractor shall reimburse the Nation for such property's loss or damage caused by the Contractor, normal wear and tear excepted.

## **2.4. Project Timeline**

**2.4.1.** The PBPN reserves the right to make changes or alterations to the schedule in the best interest of the Project.

**2.4.2.** Contractor will be notified sufficiently in advance of any changes or alterations in the schedule.



- 2.4.3. Each bid must be signed by an authorized officer or individual of the Bidder’s company.
- 2.4.4. As part of their responses, Bidders shall indicate a single point of contact for any subsequent communications.
- 2.4.5. Unless otherwise notified in writing, the dates indicated below for submission of items or for other actions on the part of a Bidder shall constitute absolute deadlines for those activities and failure to fully comply by the time stated shall cause a Bidder to be disqualified as non-responsive.

1/21/2025		Legal Notice
1/17/2025		Public/Private Advertisement of RFP
1/29/2025		Pre-Bid Teleconference Meeting
		Amendments to RFP
2/7/2025		Deadline for Submission of Questions
2/14/2025		Answers to Submitted Questions - Final
2/21/2025		Proposals Due
2/21/2025		Opening of Proposals Submitted
TBD		Evaluations of Proposals
TBD		Notice of Award

**2.5. Budget**

All proposals must include proposed costs to complete the tasks described in the project scope.

- 2.5.1. Provide a guaranteed budget (**see Attachment B**) for services listed in the Scope of Work (Attachment A). Budgets should be clearly defined to ensure bids proposed can be compared and evaluated. Bids shall be valid for a minimum of 180 days following submission. **This form must be submitted in a separate PDF file containing the price bid.**
- 2.5.2. Cost of Bid Preparation:
  - 2.5.2.1. The cost of preparing, developing, and submitting the bid is entirely the responsibility of the Bidder.
  - 2.5.2.2. PBPN will not reimburse any Bidder the cost of responding to the Solicitation.
- 2.5.3. The PBPN reserves the right to negotiate the fees proposed by the bidder.
- 2.5.4. This contract **DOES NOT** require the contractor to satisfy the requirement of the Davis Bacon Act of 1931, a federal law that establishes the requirement for paying the local prevailing wages with respect to the employees working in connection with the contracted.

## 2.6. **Pricing and Taxes**

- 2.6.1. Bidder's best pricing, for the term of this Contract, the prices and discounts will be equal to or better than the lowest price and largest discounts, both separately and in combination, at which Bidder sells equivalent items of equipment and materials.
- 2.6.2. All prices and rates to the extent they differ from those exhibited by the Bidder, shall be valid for the entire term of the Contract, and shall not be subject to revision for inflation, or any changes to wages, taxes or other costs that Bidder may be obliged to incur that may be higher than those which it contemplated when proposing the relevant price or rate.
- 2.6.3. The Nation is exempt from paying Kansas State sale and use Taxes and Federal Excise Tax for direct purchases. These taxes shall not be included in the Bidder's price quotation.

## 3. **INSTRUCTIONS TO BIDDERS**

### 3.1. **Bid Content**

Bids must be organized according to the mandatory sections listed below. Bids that do not address all the mandatory sections listed below may be considered non-responsive and may not be evaluated. Bids should be of sufficient length and details to demonstrate the bidder has a thorough understanding of the skills necessary to deliver the services requested.

- 3.2. **Bidders responding to this Solicitation must submit a digital file formatted in accordance with 3.5.1 below by emailing an electronic copy to the Procurement Office at: [procurement@pbpnation.org](mailto:procurement@pbpnation.org)**
- 3.3. **The fixed fee bid, including Attachment B, described in Page 7 below shall be submitted as a separate PDF.**
- 3.4. On each document, insert a footer that specifies: **Name of Bidder, Name of the Attachment, the Solicitation number, and Page number.** The Procurement Office will not respond to any inquiries received in any other manner.
- 3.5. Bids must be received before the due date and time stated in the solicitation. Bids submitted outside the stated requirements or those that are received after the due date and time shall be rejected.
  - 3.5.1. For the digital files required pursuant to Section 3.2 above, only files that are Microsoft Word Documents, Excel Workbooks, PowerPoint presentations and/or Adobe Acrobat documents will be accepted. Any other format will need advance approval before submitting your files.
  - 3.5.2. Each bid shall include a document page for each response section.
  - 3.5.3. The material shall be in sequence and organized as outlined in section 3.4 (below) as related to the RFP.
  - 3.5.4. Failure to include the requested information may have a negative impact on the evaluation of the Bidder's bid.

**3.6. The bid shall be organized in the following manner:**

**3.6.1. Cover and Table of Contents**

**3.6.2. Section 1- Letter of Interest**

**3.6.2.1.** Provide a letter of interest describing your interest for this project (2 pages minimum) and any changes to the format or deletions of requested materials should be explained in the cover letter. Please include the following in your cover letter:

**3.6.2.1.1.** Describe why your firm considers itself to be best qualified to master the project and perform the work required in a responsive manner,

**3.6.2.1.2.** Describe how the delivery of services will be provided to the Tribe including the location of the Respondent's offices and expected response times to the Tribe's requests,

**3.6.2.1.3.** Describe those conditions, constraints, or problems that are unique to the scope of work that may adversely affect either cost or delivery dates, and

**3.6.2.1.4.** If the Bidder is proposing to joint partner with another principal firm, the cover letter must specify the type of services to be provided by each firm.

**3.6.2.1.5.** Include a statement that the Bidder's bid, including bid prices, will be considered firm for ninety (90) to one-hundred twenty (120) days after submission of the bid.

**3.6.3. Section 2 - Company Profile**

**3.6.3.1.** Provide a company profile including principal areas of expertise and experience providing services to Tribal communities, include an organizational chart depicting the management of the firm's organization and its relationship to any other business entity.

**3.6.3.2.** The information provided will be used to evaluate the Respondent's financial stability and its ability to support the commitments set forth in the bid.

**3.6.3.3.** PBPN, as its option, may require the Respondent to provide additional support and/or clarify requested information.

**3.6.3.4.** Bids must include the following information:

**3.6.3.4.1.** Date, jurisdiction, and type of business organization (close, general or S Corporation, LLC or PLLC, Sole proprietorship),

**3.6.3.4.2.** Federal and State Tax ID numbers,

**3.6.3.4.3.** Names of Owners, Principals and/or Officers,

- 3.6.3.4.4. Civil or Criminal claims, judgments, or suits within the last ten (10) years,
- 3.6.3.4.5. Evidence of the firm’s financial wherewithal to complete the project,
- 3.6.3.4.6. The name, title, email address, mailing address, fax and telephone numbers of the officer authorized to represent the Broker in any correspondence, negotiations and sign any contract that may result,
- 3.6.3.4.7. The Project Manager’s name, title, email address, mailing address, fax, and telephone number.

**3.6.4. Section 3 – Project Understanding and Approach**

- 3.6.4.1. Provide a thorough statement of work describing your understanding of the project and preliminary approach, methodology, time frames and plan to deliver the services requested. It is important to demonstrate the ability to advocate for PBPN while maintaining professional relationships throughout the project.

**3.6.5. Section 4 – Company Experience and qualifications. All firms are requested to provide the following information (2 pages maximum for items 3.6.5.1 – 3.6.5.6).**

- 3.6.5.1. Address and phone numbers of all company offices,
- 3.6.5.2. Brief description of company history and key services,
- 3.6.5.3. Number of years in business,
- 3.6.5.4. Types of services provided,
- 3.6.5.5. List and describe all claims, lawsuits, or legal settlements within the past five (5) years, and those that are currently pending, and
- 3.6.5.6. Number of full and part-time employees.
- 3.6.5.7. References for the contractor (and not for its sub-contractors or joint venturers), in the form specified in Attachment E, from at least three (3) previous projects of comparable size and scope must be provided as proof of experience. Please provide references for the following types of customers:
  - 3.6.5.7.1. New customers, and discuss their conversion experiences,
  - 3.6.5.7.2. Ongoing customers and discuss what is working well and how you have solved problems,
  - 3.6.5.7.3. A client that your firm recently lost and describe the circumstances, and
  - 3.6.5.7.4. A Native American Tribe or Sovereign Indian Nation.

### **3.6.6. Section 5 - Technical Qualifications**

- 3.6.6.1. Bidders shall identify a Project Coordinator who shall be a primary contract person for the PBPB Procurement office in discussing work that needs to be completed,
- 3.6.6.2. Bidders shall provide a detailed description of the Project Coordinator's function and how they will accomplish the minimum tasks listed in the Scope of Work.

### **3.6.7. Section 6 – Professionalism**

- 3.6.7.1. Candidate has affirmed facilitation of communication, decision maker, proactive responsibilities, competency, ethical principles and demonstrates trustworthy quality, and
- 3.6.7.2. Demonstrates the ability to collaborate with Tribal communities, entities and/or organizations.

### **3.6.8. Section 7 – Cost Proposal (submitted as a separate PDF)**

- 3.6.8.1. Provide an all-inclusive pricing to include but not limited to, all labor, materials, labor rate, labor benefits, payroll burden, insurances, workers' compensation fee, profit, overhead and all other related cost factors for the life of this contract.
- 3.6.8.2. The firm may not bill the Tribe for any other products or services without the Tribe Procurement and Purchasing approval.
- 3.6.8.3. Bidders shall provide a budget sheet (Attachment B).
- 3.6.8.4. As noted above in Section 3.3, this item must be submitted separately in PDF format.

## **3.7. Submission of Bid**

### **3.7.1. Bid Submission Due Date and Time:**

**Only emailed proposals will be accepted.** Bid proposals are to be submitted via email to [procurement@pbpnation.org](mailto:procurement@pbpnation.org) by **2/21/2025**.

Subject line of the email must contain **Bid 2025-0001 and your company name**.

Bidder's proposal shall consist of **two** separate documents:

**A Technical Proposal**, including the cover and table of contents, pages 1-6 referenced above, required forms, applicable literature, and other supporting documents, in Microsoft® Word, Excel or searchable PDF®. The Technical Proposal file is to be named **"Company Name - Bid 2025-0001 Technical Proposal"**

**A Cost Proposal**, Attachment B, in Microsoft® Word, Excel or searchable PDF. The Cost Proposal file is to be named **"Company Name - Bid 2025-0001 Cost Proposal"**

Bidder's proposal shall be received no later than 3:00 PM, Central Time, on the closing date.

It is the bidder's responsibility to ensure bids are received by the closing date and time. Delays in email delivery shall not excuse late bid submissions. It is the bidder's responsibility that the file size does not exceed maximum limits and the emails have been received.

Faxed, mailed, and telephoned proposals are not accepted.

Proposals received prior to the closing date shall be kept in a secured file until closing. The Nation shall not be responsible for the premature opening of a proposal or for the rejection of a proposal that was not received prior to the closing date because it was not properly identified on the email. Late Technical and/or Cost Proposals will not receive consideration.

**3.7.2.** Bids shall be received before the due date and time stated in the solicitation. Bids submitted outside of the stated submission requirements or those received after the due date and time shall be rejected.

**3.7.3. Bid and Acceptance**

The Bid and Acceptance for this RFP shall be signed with a signature by the person authorized to sign the Bid and shall be submitted to the Procurement Office with the solicitation no later than five (5) days prior to the initial start date.

**3.7.4. Solicitation Amendment**

A Solicitation Amendment shall be acknowledged to the PBPB Procurement/Purchasing Office no later than the Bid due date and time. Failure to acknowledge the Solicitation Amendment may result in rejection of the Bid.

**3.7.5. Bid Amendment or Withdrawal:**

A bid may not be amended or withdrawn after the bid due date and time except as otherwise provided under applicable tribal policy or resolution.

**3.7.6. Public Record:**

All bids submitted and opened are public records and shall be retained by the PBPB Procurement/Purchasing Office for a period of three (3) years. Bids shall be open and available for public inspection through the PBPB Procurement/Purchasing office ten (10) days after the award has been made.

**3.8. Bid Opening**

**3.8.1.** Bids received by the due date and time will be opened within one-half (1/2) hour of the closing date and time.

**3.8.2.** The name of each Bidder will be publicly available.

**3.8.3.** Bids will not be subject to public inspection for a period of ten (10) days or until after the Contract has been awarded.

## 4. EVALUATION

### 4.1. Evaluation Criteria

- 4.1.1. In accordance with the PBPN Procurement Policy, awards shall be made to the responsible Bidder(s) whose proposal is determined in writing to be the most advantageous to the Tribe based upon the evaluation criteria listed below.
- 4.1.2. The Nation reserves the right to reject any or all responses to this RFP. Final selection of candidates will be on a basis of their apparent ability to meet the overall expectations of PBPN, as determined solely by the PBPN.
- 4.1.3. The Nation may reject any or all bids, accept a bid other than the lowest bid, and waive informalities or minor irregularities in bids received if determined by the Procurement Officer that the best interest of the Nation will be served by doing so. The Nation reserves the right to reject all nonconforming, nonresponsive, unbalanced, or conditional bids. A Bidder's failure to provide any additional information requested by the Nation prior to a contractor selection may result in rejection of the Bid. The Nation may reject any bid from any person, firm, or corporation in arrears or in default to the Nation on any contract, debt, or other obligation, if the Bidder is debarred by the Nation from consideration for a contract award, if Bidder has committed a breach of contract which resulted in a termination of a contract or other material sanction within two (2) years immediately preceding the date of issuance of this document, or based on past performance.
- 4.1.4. The PBPN reserves the right to conduct investigations as it deems necessary for the evaluation of any bid and to establish the experience, responsibility, reliability, references, reputation, business ethics, history qualifications and financial ability of the firm responding. The purpose of the investigation is to determine that the candidate has the ability, experience, resources, and reputation necessary to perform the work and to support all warranties in accordance with the contract documents.
- 4.1.5. PBPN will evaluate all bids meeting the criteria set forth within this RFP by a committee selected by the requesting department.
- 4.1.6. **To be considered a responsible or qualified bidder, the bidder must satisfy the following requirements:**
- 4.1.6.1. Submit a complete bid package which is responsive to all RFP requirements.
- 4.1.7. In evaluating responses to this RFP, the PBPN Procurement Office will take into consideration the qualification, experience capacity, and cost that are being bid by the Bidder.
- 4.1.8. **The Evaluation committee shall engage in the following two-step process:**
- 4.1.8.1. Step one (1) consists of evaluation of all **Technical Proposals** to determine which bidders are responsible/qualified bidders.
- 4.1.8.2. Step two (2) consists of evaluation of **Cost Proposals**. The electronic PDF price bid, Attachment B, of each responsible/qualified bidder is reviewed and evaluated, and the award recommendation is made in accordance with the Nation's procurement policies and applicable federal regulations.

## **4.2. AWARD**

### **4.2.1. The Nation shall give preference when awarding contracts in the following order:**

4.2.1.1. Licensed PBPB-owned business.

4.2.1.2. Licensed Nation member-owned business or individual PBPB member

4.2.1.3. Other licensed Native American-owned business or individual Native American.

### **4.2.2. Number and Types of Awards**

The PBPB reserves the right to make multiple awards or to award a Contract by individual line items or alternatives, by group of line items or alternatives, or to make an aggregate award, whichever is most advantageous to PBPB.

### **4.2.3. Contract Inception**

A Bid does not constitute a Contract, nor does it confer any rights on the Bidder to the award of a Contract. A Contract is not created until the Model Contract is executed and delivered by the Nation and the successful bidder. A notice of Award or of the intent to award shall not constitute acceptance of the Bid.

### **4.2.4. Effective Date**

The effective date of the Contract shall be the date that the PBPB Tribal Council sign the Bid and Acceptance or other official contract form unless, another date is specifically stated in the Contract.

## **4.3. INVOICING**

### **4.3.1. Submitting invoices:**

4.3.1.1. Contractor shall submit an invoice to the PBPB Finance Accounts Payable Office within thirty (30) days of the end of each month services were provided.

4.3.1.2. Every invoice must be signed by Contractor's authorized representative and accompanied by all supporting information and documentation required by the Contract.

4.3.1.3. No payment of invoice shall be made without authorization.

4.3.1.4. No payment shall be made without a current W9 on file with Accounts Payable.

4.3.2. Contractor shall not seek payment for any service(s) provided without the proper signature of the designated or assigned individual for the project.

4.3.3. Services that have not been authorized on an acknowledged purchase order, and

4.3.4. Services that are the subject of a Contract Amendment or Change Order that has not been fully signed and executed.



- 4.3.5. Contractor shall submit all billing notices or invoices to the PBPB Accounts Payable Department at the following address:

**PBPB -Finance Department**  
**Accounting Section – Accounts Payable**  
**16281 Q Road, Mayetta, KS 66509**  
**(785) 966-8253**  
[AccountsPayable@pbpnation.org](mailto:AccountsPayable@pbpnation.org)

- 4.3.6. Defective invoices: without prejudice to its other rights under the Contract or further obligations to Contractor, the PBPB Accounting office may, at its discretion, reject any materially defective invoice. It will have no obligations to pay against a defective invoice unless and until the Contractor has re-submitted invoice free of defects.

- 4.3.7. Invoicing will be deemed automatically rejected upon delivery if they:

4.3.7.1. Are sent to the wrong address,

4.3.7.2. Do not reference the correct PBPB Contract number or Purchase Order, or

4.3.7.3. Are payable to any Person other than the Contractor.

- 4.3.8. **Invoicing for Task Orders: For task order Services, invoices must include the following information as applicable to the relevant task order being invoiced against:**

4.3.8.1. **Substantiation of hours worked using:**

4.3.8.1.1. A detailed daily timesheet,

4.3.8.1.2. Itemization to the task level, and

4.3.8.1.3. Breakdown by service area (if the Contract covers more than one area) and Contract Amendment (if any apply),

4.3.8.2. Authorization and receipts for all allowable reimbursable items being invoiced; and

4.3.8.3. Contractor's certification that the invoice has been examined and, to the best of Contractor's knowledge and belief, the invoiced amounts are entitled, correct, and accurate as can be demonstrated by the contractually required books and records upon the Tribe's demand.

## 5. **MINIMUM CONTRACTING STANDARDS**

### 5.1. **Insurance Requirements**

- 5.1.1. Contractor and subcontractors shall procure and maintain, until all their obligations have been discharged, including and warranty periods under this Contract, insurance against claims for injury to persons or damage to property arising from, or in connection with, the performance of the work hereunder by the Contractor, its agents, representative, employees or subcontractors.

- 5.1.2. The Insurance Requirements herein are minimum requirements for this Contract and in no way limit the indemnity covenants contained in this Contract. The PBPN in no way warrants that the minimum limits contained herein are sufficient to protect the Contractor from liabilities that arise out of the performance of the work under this Contract by the Contractor, its agents, representative, employees or subcontractors, and the Contractor is free to purchase additional insurance.
- 5.1.3. Proof of Insurance shall not be terminated or expire within thirty (30) days written notice and are required to be maintained in force until completion of this contract.
- 5.1.4. The Contractor and sub-contractors shall use in the performance of this contract to name the PBPN Tribe as an additional insurer with the following standard types and minimum amounts.
- 5.1.5. **Commercial General Liability (CGL):** **\$2,000,000.00**
- 5.1.5.1. Policy shall include bodily injury, property damage, and broad form contractual liability coverage.
- 5.1.5.2. The policy shall be endorsed, as required by this written agreement, to include the Prairie Band Potawatomi Nation and its departments as additional insurers with respect to liability arising out of the activities performed by or on behalf of the Contractor.
- 5.1.5.3. Policy shall contain a waiver of subrogation endorsement, as required by this written agreement, in favor of the Prairie Band Potawatomi Nation and its department for losses arising from work performed by or on behalf of the Contractor.
- 5.1.6. **Comprehensive Automobile Liability:** **\$1,000,000.00**
- 5.1.6.1. Bodily injury and Property damage for any owned, hired and/or non-owned automobiles used in the performance of this contract.
- 5.1.6.2. The policy shall be endorsed, as required by this written agreement, to include the Prairie Band Potawatomi Nation and its departments as additional insurers with respect to liability arising out of the activities performed by or on behalf of the Contractor.
- 5.1.6.3. Policy shall contain a waiver of subrogation endorsement, as required by this written agreement, in favor of the Prairie Band Potawatomi Nation and its department for losses arising from work performed by or on behalf of the Contractor
- 5.1.7. Worker's Compensation: Statutory limits
- 5.1.8. **Employer's Liability: Each accident** **\$1,000,000**
- 5.1.8.1. 100% of insurable value of the work. Builder's Risk, Extended coverage for Vandalism, and Malicious Mischief, if required.

5.1.8.2. Policy shall contain a waiver of subrogation endorsement, as required by this written agreement, in favor of the PBPN and its department and employees for losses arising from work performed by or on behalf of the Contractor.

**5.1.9. Professional Liability (Error and Omissions Liability)**

5.1.9.1. Each Claim \$2,000,000

5.1.9.2. Annual Aggregate \$2,000,000

5.1.10. In the event that the Professional Liability insurance required by this Contract is written on a claims-made basis, Contractor warrants that any retroactive date under the policy shall precede the effective date of this Contract and other continuous coverage will be maintained or an extended discovery well be exercised, for a period of two (2) years beginning at the time work under this Contract is completed.

5.1.11. The policy shall cover professional misconduct or negligent acts for those positions defined in the Scope of Work of this Contract.

5.1.12. Failure to provide proof or failure to maintain insurance as required in this bid, or by law, are grounds for immediate termination of this contract.

5.1.13. In the event of termination of contract, the awarded bidder shall be liable for all procurement costs and any other remedies under PBPN Procurement policies.

5.1.14. Insurance provided by the Contractor shall not limit the Contractor's liability assumed under the indemnification provisions of this Contract.

**5.2. Notice of Cancellation**

Applicable to all Insurance policies required within the Insurance Requirements of this Contract, Contractor's insurance shall not be permitted to expire, be suspended, be canceled, or be materially changed for the reason without thirty (30) days prior written notice to the Prairie Band of Potawatomi Nation. Within two (2) business days of receipt, Contractor must provide notice to the PBPN if they receive notice of a policy that has been or will be suspended, canceled, materially changed for any reason, has expired or will be expiring. Such notice shall be sent directly to the department or by email to: [michael.tamez@pbpnation.com](mailto:michael.tamez@pbpnation.com)

**5.3. Verification of Coverage**

Contractor shall furnish the Prairie Band Potawatomi Nation with certificates of insurance (COI) (valid ACORD form or equivalent approved by the State of Kansas) evidencing that Contractor has the insurance as required by this Contract. An authorized representative of the insurer shall sign the certificates.

5.3.1. All such certificates of insurance and policy endorsements must be received by the State before work commences. The PBPN's receipt of any certificates of insurance of policy endorsements that do not comply with this written agreement shall not waive or otherwise affect the requirements of this agreement.

5.3.2. Each insurance policy required by this Contract must be in effect at, or prior to, commencement of work under this Contract. Failure to maintain the insurance policies as required by this Contract, or to provide evidence of renewal, is a material breach of contract.

#### **5.4. Subcontractors**

Contractor's certificate(s) shall include all subcontractors as insureds under its policies or Contractor shall be responsible for ensuring and/or verifying that all subcontractors have valid and collectable insurance as evidenced by the certificates of insurance and endorsements for each subcontractor. All coverage for subcontractors shall be subject to the minimum Insurance Requirements identified above. The PBPB reserves the right to require, at any time throughout the life of this contract, proof from the Contractor that its subcontractors have the required coverage.

#### **5.5. Approval and Modifications**

The PBPB reserves the right to review or make modifications to the insurance limits, required coverages, or endorsements throughout the life of this contract, as deemed necessary. Such action will not require a formal Contract amendment but may be made by administrative action.

#### **5.6. Indemnification Clause**

- 5.6.1. To the fullest extent permitted by law, Contractor shall defend, indemnify and hold harmless the PBPB and its departments, Tribal officials and employees, (hereinafter referred to as "indemnitee") from and against any and all claims, actions, liabilities, damages losses, or expenses (including court costs, attorney's fees, and costs of claim processing, investigation and litigation) for bodily injury or personal injury (including death), or loss or damage or tangible or intangible property causes, or alleged to be caused, in whole or in part, by the negligent or willful acts or omissions of Contractor or any of its owners, officers, directors, agents, employees or subcontractors.
- 5.6.2. This indemnity includes any claim or amount arising out of, or recovered under, the Workers' Compensation Law or arising out of the failure of such Contractor to conform to any federal, state, or local law, statute, ordinance, rule, regulations or court decree.
- 5.6.3. It is the specific intention of the parties that the indemnitee shall, in all instances, except for Claims arising solely from the negligent or willful acts or omissions of the indemnitee, be indemnified by Contractor from and against all claims.
- 5.6.4. It is agreed that Contractor will be responsible for primary loss investigation, defense, and judgment costs where this indemnification is applicable.
- 5.6.5. In consideration of the award of this contract, the Contractor agrees to waive all rights of subrogation against the PBPB and its Tribal Council, agents and employees for losses arising from the work performed by the Contractor for the PBPB.

#### **5.7. Conflict of Interest**

- 5.7.1. The Contractor shall not knowingly employ or contract with, during the period of this contract or any extensions to it, any employees or subcontractors who are also officials or employees of the Nation.
- 5.7.2. Furthermore, the Contractor shall not knowingly employ, during the period of this contract or any extensions to it, any Nation employee who has participated in the making of this contract until at least two (2) years after his/her termination of employment with the Nation.

## **5.8. Confidentiality**

The Contractor shall maintain all non-public information secured in connection with any contract with PBPB in strict confidence, with disclosure only to individuals as needed to perform under the contract and on a need-to-know basis.

## **5.9. Health Insurance Portability and Accountability Act of 1996**

**5.9.1.** If applicable, the Contractor warrants that it is familiar with the requirements of HIPAA, as amended by the Health Information Technology for Economic and Clinical Health Act (HITECH Act) of 2009, and accompanying regulations and will comply with all applicable HIPAA requirements in the course of this Contract. Contractor warrants that it will cooperate with the PBPB Health Clinic in the course of performance of the Contract so that both PBPB Health Clinic and Contractor will be in compliance with HIPAA, including cooperation and coordination with the Contractor who will sign any documents that are reasonably necessary to keep PBPB Health Clinic and Contractor in compliance with HIPAA, including, but not limited to, business associate agreements.

**5.9.2.** If applicable, and requested by the PBPB Health Clinic and PBPB Procurement Office, Contractor agrees to sign a “Pledge to Protect Confidential Information” and to abide by the statements addressing the creation, use and disclosure of confidential information, including information designated as protected health information and all other confidential or sensitive information as defined in policy. In addition, if requested, Contractor agrees to attend or participate in HIPAA training offered by PBPB Health Clinic or to provide written verification that the Contractor has attended or participated in job related HIPAA training that is: (1) intended to make the Contractor proficient in HIPAA for purposes of performing the services required and (2) presented by a HIPAA Privacy Officer or other person or program knowledgeable and experienced in HIPAA.

## **5.10. Tribal Business Licensing (Applicable)**

Contractors conducting business within the PBPB Tribal community must obtain a business license. The cost of an annual license is approximately fifty dollars (\$50.00). The Contractor shall comply with the PBPB Tribal community business registration, licensing, and other applicable tribal regulatory laws, and shall pay any applicable licensing fees. A copy of a current business license must be provided to PBPB Tribe and be maintained for the duration of the contract.

## **5.11. Governing Law and Jurisdiction**

Unless otherwise approved by the PBPB Tribal Council, this contract shall be governed by and construed in accordance with the laws of the PBPB, and the contractor consents to jurisdiction of the PBPB Tribal Courts for all matters related to or arising out of this contract.

## **5.12. Sovereign Immunity**

Notwithstanding any other terms or provisions of this Agreement, Contractor understands and agrees that the PBPB Tribe, by entering into this Agreement, does not waive its sovereign immunity from suit, nor does it waive, alter, or otherwise diminish its rights, privileges, remedies or services guaranteed by the Treaty with the PBPB Tribe of 1856 (12 Stat. 971).

## **5.13. Contractor Responsibility**

Nothing in the contract shall relieve or limit the contractor’s responsibility for damages for its own negligence or breach of the contract. Nothing shall require PBPB to indemnify or hold the contractor

harmless for its own negligence, breach, or misconduct. All products from or services by a contractor are warranted or represented as being suitable for the intended purpose of the contract.

#### **5.14. Authorization of Services**

Authorization for purchase of services under this Contract shall be made only upon PBPN issuance of a Purchase Order that is signed by an authorized agent. The Purchase Order will indicate the Contract number and the dollar amount of funds authorized. The Contractor shall only be authorized to perform services up to the amount on the Purchase Order. PBPN shall not have any legal obligation to pay for services in excess of the amount indicated on the Purchase Order. No further obligation for payment shall exist on behalf of PBPN unless:

**5.14.1.** the Purchase Order is changed or modified with an official PBPN Procurement Change Order, and/or

**5.14.2.** an additional Purchase Order is issued for purchase of services under this Contract.

### **6. PROTEST**

A protest shall comply with and be resolved according to the PBPN Tribal Procurement Policies. Protests shall be in writing and be filed with both the Procurement Office and with the PBPN General Manager. A protest of the Solicitation shall be received by the Procurement Office before the Bid due date. A protest of the proposed award or of an award shall be filed within ten (10) days after the Procurement office makes the procurement file available for public inspection. A protest shall include:

**6.1.** The name, address, email address and telephone number of the interested party,

**6.2.** The signature of the interested party or its representative,

**6.3.** Identification of the purchasing agency and the Solicitation or Contract number,

**6.4.** A detailed statement of the legal and factual grounds of the protest including copies of relevant documents, and

**6.5.** The form of relief requested.

**CERTIFICATION:**

By signing below, I certify that I am authorized to offer the items quoted at these prices, that the items comply with the specifications and requirements listed, or are insubstantial compliance thereto, and that I accept these terms and conditions.

\_\_\_\_\_  
Date

\_\_\_\_\_  
Signature

\_\_\_\_\_  
Print Name

\_\_\_\_\_  
Title

\_\_\_\_\_  
Company Name

\_\_\_\_\_  
Telephone

\_\_\_\_\_  
Email

# ATTACHMENT “A”

## SCOPE OF WORK

### Tribal Finance Policies Review and Revision

#### I. Introduction

Prairie Band Potawatomi Nation (PBPN) a federally recognized Native American tribe, is seeking proposals from qualified consultants or firms to review and revise a comprehensive set of finance-related policies and appendices. These policies will cover essential financial management functions, including budgeting, accounting, procurement, grant administration, and other areas critical to the financial integrity and sustainability of the tribe. The revised policies will ensure compliance with federal regulations, best practices, and internal controls while meeting the unique needs of the tribal government.

#### II. Purpose

The primary objective of this RFP is to solicit proposals from qualified vendors who can review and revise a series of finance policies and appendices to guide the tribe’s financial management practices. The policies should provide a framework for the tribe’s financial operations in alignment with sound financial principles and comply with all applicable laws, including but not limited to the Generally Accepted Accounting Principles (GAAP), Governmental Accounting Standards Board (GASB), and relevant federal and state regulations.

#### III. Scope of Work

The selected vendor will be required to review and revise a series of financial policies and appendices covering the following areas:

##### 1. Finance and Budgeting Policies

- Annual budgeting process
- Budget development, approval, and monitoring
- Budgetary control mechanisms
- Forecasting and reporting

##### 2. General Ledger and Reporting Policies

- General ledger structure and chart of accounts
- Monthly and annual financial reporting requirements
- Reconciliation processes
- Reporting formats and submission schedules
- Internal and external financial reporting

##### 3. Grant Administration Policies

- Grant application and award management
- Compliance with federal and tribal grant guidelines
- Reporting and documentation requirements for grants
- Monitoring of grant expenditures and balances



#### **4. Procurement and Purchasing Policies**

- Adherence to guidelines set forth in the Code of Federal Regulations (CFR)
- Procurement process, including bid and award procedures
- Vendor selection and evaluation
- Conflict of interest and ethics guidelines
- Procedures for purchases under specified thresholds in 2 CFR Part 200

#### **5. Purchasing Card, Gift Card, and Fleet Card Policies**

- Use of purchasing cards, gift cards, and fleet cards
- Purchasing card usage policy
- Approval, recordkeeping, and reconciliation processes
- Spending limits and restrictions
- Reporting requirements

#### **6. Travel and Expense Policies**

- Travel authorization, reimbursement, and per diem rates
- Travel documentation and reporting

#### **7. Treasury and General Cash Collection Policies**

- Cash management, including daily deposits, handling, and controls
- Bank reconciliation processes
- Treasury management functions and internal controls

#### **8. Accounts Payable Policies**

- Invoice processing and payment approval procedures
- Timeliness of payments
- Vendor management and reporting requirements
- Payment dispute resolution

#### **9. Capital Assets and Inventory Assets Policies**

- Capitalization thresholds and asset classification
- Depreciation, maintenance, and disposal processes
- Inventory management and tracking systems
- Physical inventory counts and reconciliation procedures

## 10. Payroll Policies

- Payroll processing, tax compliance, and employee classifications
- Leave accrual and management
- Payroll reporting and reconciliation

## 11. GASB 87 Lease Accounting Policies

- Lease classification and recognition under GASB 87
- Accounting for leases and leasehold improvements
- Lease reporting, disclosures, and audits

## IV. Deliverables

The vendor will provide the following deliverables:

1. A set of customized financial policies that cover the areas outlined above.
2. A final written report summarizing each policy, including rationales for the selected policy provisions.
3. A training session or materials for tribal finance staff to ensure proper implementation of the policies.
4. A timeline for the completion of each section of the policies, including drafts for review and feedback.

## V. Timeline

The timeline for this project is as follows:

- **Proposal Submission Deadline:** 2/21/2025
- **Contract Award Date:** TBD (Contingent on Tribal Council meeting schedule)
- **Draft Policies Due:** 6-8 weeks after contract award
- **Internal Policy Review Due:** 4 weeks after draft policies received
- **Final Policies Due:** 4-6 weeks after internal policy review

**ATTACHMENT “B”**

**BUDGET SHEET**

To be provided by the bidder in a separate PDF as part of the Cost Proposal.

**ATTACHMENT "C"**

**DRUG FREE WORKPLACE CERTIFICATION**

The undersigned hereby certifies to the Prairie Band Potawatomi Nation, subject to penalty for perjury pursuant to the laws of the Prairie Band Tribal Court, that the following is true and correct:

1. The undersigned is a duly authorized representative of the Contractor and, in that capacity, has executed this certification on behalf of the Contractor.
2. The Contractor, in accordance with Government Code Section 41 U.S.C. Code §8102, the Drug-Free Workplace Act of 1988, shall provide a drug-free workplace by doing all of the following:
  - a) Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensation, possession or use of a controlled substance is prohibited in the person's or organization's workplace and specifying actions which will be taken against employees for violations of the prohibition.
3. Establishing a drug-free awareness program to inform employees about all of the following:
  - a) The dangers of drug abuse in the workplace.
  - b) The person's or organization's policy of maintaining a drug-free workplace.
  - c) The availability of drug counseling, rehabilitation and employee-assistance programs; and
  - d) The penalties that may be imposed upon employees for drug abuse violations.
4. Requiring that each employee engaged in the performance of the Contract be given a copy of the statement required by subdivision
  - a) Above and that, as a condition of employment on the Project, the employee agrees to abide by the terms of the statement.
5. The Contractor hereby acknowledges and agrees that, if the District determines that the certification given herein is false or that the Contractor violated this certification by failing to implement the requirements of Government Code Section 41 U.S.C. Code §8102, the Contract shall be subject to termination, suspension of payments, or both, and the Contractor shall be subject to debarment in accordance with the requirements of Code Section 8102.

\_\_\_\_\_  
Signature Company Representative

\_\_\_\_\_  
Date

\_\_\_\_\_  
Print Name

\_\_\_\_\_  
Title

# ATTACHMENT "D"

## DISBARMENT DISCLOSURE

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### CERTIFICATION REGARDING DEBARMENT, SUSPENSION, AND OTHER RESPONSIBILITY MATTERS

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This certification is required by the regulations implementing Executive Order 1249, Debarment and Suspension, 13 CFR part 145. The regulations were published as Part VII of the May 26, 1988 Federal register (Pages 1916-19211). Copies of the regulations are available for the local offices of the U.S. Small Business Administration.

1. The Prospective participant certifies to the best of its knowledge and belief that it and its principles:
  - a) Are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any Federal department or agency.
  - b) Have not within a three (3) year period preceding this proposal been convicted of or had a civil judgement rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;
  - c) Are not presently indicted for or otherwise criminally charged by a government entity (Federal, State, or local) with commission of any of the offenses enumerated in paragraph (1) (b) of this certification; and
  - d) Have not within a three (3) year period preceding this application Proposal had one or more public transactions (Federal, State, or local) terminated for cause or default.
2. Where the prospective primary participant is unable to certify to any of the statements in this certification such prospective primary shall attach an explanation to this proposal.
3. I understand that a false statement on this certification may be grounds for rejection of this proposal or termination of the award. In addition, under 18 USC Sec. 1001, a false statement may result in a fine of up to \$10,000 or imprisonment for up to 5 years, or both.

\_\_\_\_\_  
Name of Authorized Representative

\_\_\_\_\_  
Title of Authorized Representative

\_\_\_\_\_  
Firm Name and Address

\_\_\_\_\_  
Date

**ATTACHMENT “E”**

**REFERENCES**

(Print additional copies and complete the information below and attach as part of your Technical Proposal)

Bidders are required to submit information about PAST experience to verify performance using this form. Insert the information as requested. Responses shall include the details of at least **three (3) individual contracts** for services related to those described in this solicitation within the last **five (5) years**.

Do not use references from any past or current contracts with PBPN. Do not use any current PBPN employee as a reference.

Contract Title \_\_\_\_\_

Contract Term / Dates of Work \_\_\_\_\_ through \_\_\_\_\_

Geographic Area Served: \_\_\_\_\_

Company: \_\_\_\_\_

Contact Name and Title: \_\_\_\_\_

Address: \_\_\_\_\_ City/State/Zip: \_\_\_\_\_

Telephone: \_\_\_\_\_

Description of Services Performed:

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**PRAIRIE BAND POTAWATOMI TAX COMMISSION**  
 16281 Q Rd.  
 Mayetta, KS 66509  
 Telephone: (785) 966-3998

**APPLICATION FOR TRIBAL BUSINESS LICENSE**

Instructions: All information must be completed prior to license issuance. This application for the Prairie Band of Potawatomi Business License must be accompanied by the appropriate non-refundable application fee:

Temporary Business License	\$10.00	(4 days or less)
Seasonal Business License	\$25.00	(3 months or less)
Annual Business License	\$50.00	(Exceeding 3 months)
Annual Business License	Exempted	(Exceeding 3 months)

1. Business Name: \_\_\_\_\_
2. Location and Address of Business: \_\_\_\_\_  
 \_\_\_\_\_
3. Owner's Name and Address: \_\_\_\_\_  
 \_\_\_\_\_
4. Business Telephone: \_\_\_\_\_ Home Telephone: \_\_\_\_\_
5. Contact person's email address: \_\_\_\_\_
6. Social Security/FEIN Number(s) of Owner(s): \_\_\_\_\_  
 \_\_\_\_\_
7. Nature of Business (Check Type):  
 Retail Goods \_\_\_\_\_ Retail Services \_\_\_\_\_  
 Wholesaler \_\_\_\_\_ Manufacturer \_\_\_\_\_ Contractor \_\_\_\_\_  
 Utility \_\_\_\_\_ Food Vendor \_\_\_\_\_ Other \_\_\_\_\_
8. Description of the Type of Business: \_\_\_\_\_  
 \_\_\_\_\_
9. Food Vendors only – Effective 7/1/2017: Persons that produce or sell food sold directly to the end consumer, must submit proof of training, within the past 2 years, in basic food handling principles that is accredited and certified by the American National Standards Institute (ANSI). On-line course link: <https://www.ihf.gov/foodhandler> .  
  - Have you included a copy of proof of completion of this training with your Application for a Tribal Business license? \_\_\_\_\_
10. Is this a new business? Yes \_\_\_\_\_ No \_\_\_\_\_ If yes, Date you will begin business: \_\_\_\_\_
11. Will there be more than one business location? Yes \_\_\_\_\_ No \_\_\_\_\_

I certify that I am a duly authorized owner, partner, director, officer or representative of the applicant and that the statements made herein are true and correct to the best of my knowledge. I further agree on behalf of the applicant to be bound by and comply with all laws of the Prairie Band Potawatomi Nation and do hereby consensually submit to the jurisdiction of the Prairie Band Potawatomi Nation.

I agree to submit regular Sales Revenue reports, as requested by the PBPNT Tax Commission Office.

Signature \_\_\_\_\_ Date \_\_\_\_\_

Printed Name \_\_\_\_\_ Title \_\_\_\_\_

**\*Non-refundable Application Fee must be submitted with application along. Make checks payable to the Prairie Band Potawatomi Tax Commission.**

Revised 2/9/2018

Office Use Only: Completed Application: \_\_\_\_\_ Application Fee: \_\_\_\_\_ Food Vendor: Proof of Basic Food Handling Training: \_\_\_\_\_